



JPW 3763

PATENT  
PD-0448 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James D. Causey III et al. )  
Serial No.: 09/813,660 ) Group Art Unit: 3763  
Filed: March 21, 2001 ) Examiner: Michael M. Thompson  
Title: CONTROL TABS FOR INFUSION )  
DEVICES AND METHODS OF )  
USING THE SAME )

SUPPLEMENTAL TRANSMITTAL OF INFORMATION DISCLOSURE  
STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b) or
2. ☒ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under §1.113 or
  - (2) a notice of allowance under §1.311
  - (3) or an action that otherwise closes prosecution.

CERTIFICATION OR FEE

A. Included with this transmittal is

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. §1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$180 fee (37 C.F.R. §1.17(p)) to Deposit Account No. 50-0621. A copy of this sheet is enclosed.)

**OR**

- ii. ☒ the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00). **Applicant has already paid this fee with a corresponding e-IDS filed on the same date. Accordingly no further fee should be due.**

3. ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, or an action that otherwise closes prosecution, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

#### **CERTIFICATION, PETITION AND FEE**

- A. In accordance with the requirements of 37 C.F.R. §1.97(d):
- i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).
  - ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(ii).
  - iii. Applicant submits the petition fee set forth in §1.17(i)(1). (\$180.00).

#### **CERTIFICATION**

(Required if 2Ai or 3 above is marked)

4. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and was not made in any communication dated more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).


**OR**

- ☐ that no item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

5. ■ Applicant has already paid the IDS filing fee with a corresponding e-IDS filed on the same date. Accordingly no further fee should be due. If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-0621. A copy of this petition is enclosed.

Respectfully submitted,


Date: August 9, 2004

By:   
Vivian S. Shin  
Registration No. 43,919

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on: August 9, 2004  
Date of Deposit

Signature:   
Vivian S. Shin, Reg. No. 43,919

FORM PTO-1449  
IREV. 7.801U.S. Department of Commerce  
PATENT AND TRADEMARK OFFICEAttorney Docket  
No.  
PD-0448 CONSerial No.  
09/813,660**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicant  
James D. Causey III et al.Filing Date  
March 21, 2001Group  
3762**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						

**FOREIGN PATENT DOCUMENTS**

		Document Number							Date	Country	Class	Sub- Class	Translation	
													Yes	No
	AF	5	1	0	3	8	3	3	04/27/93	Japan	A61M	5/315	X	
	AG	9	4	0	3	2	2	2	02/17/94	PCT	A61M	5/178		X
	AH	9	4	0	7	5	5	3	04/17/94	PCT	A61M	5/20		X
	AI	9	4	2	5	0	8	9	11/10/94	PCT	A61M	5/145		X
	AJ	9	5	2	4	2	3	3	09/14/95	PCT	A61M	5/172		X
	AK	9	6	1	4	8	9	3	05/23/96	PCT	A61M	5/145		X
	AL													
	AM													

**OTHER DOCUMENTS**

AN	Japanese Utility Model Laid-Open Publication No. Hei 4-083251, dated 07/20/1992
AO	DATABASE WPI, Section Ch, Week 199843, Derwent Publications Ltd., London, GB; Class B07, AN 1998-505404, XP002144981 (PATENT SOLUTIONS INC) abstract, & US 5 803 712 A (DAVIS DAVIS L ET AL) 8 September 1998 (1998-09-08)
AP	

Examiner

Date Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.